

COMMUNICATIONS SECTION

#4
Receipt

PATENT

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON THE DATE INDICATED BELOW.

BY: Louise Drain DATE: January 17, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	: Patent Application of	: Office of Initial Patent
	: Eric Garver <i>et al.</i>	: Examination
Conf. No.:	: 5387	:
Appln. No.	: 09/932,300	:
Filed	: August 17, 2001	: Attorney Docket No.
	:	: 9855-3U2
For	: METHODS OF INHIBITING ALCOHOL	:
	: CONSUMPTION	:

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Filing Receipt issued for this patent application.

In the "Assignment For Published Patent Application":

After "Thomas", please delete "Jefferson" and substitute --Jefferson-- therefor.

In the "Title":

After "Methods", please delete "if" and substitute --of-- therefor.

Please make these corrections and provide us with a Corrected Filing Receipt.

In the "Filing Date":

Please change the filing date from "August 20, 2001" to --August 17, 2001--. A Petition to Correct Filing Date Pursuant to 37 C.F.R. §1.10(c) is being filed concurrently herewith.

Respectfully submitted,

ERIC GARVER *ET AL.*

17 January 2002
(Date)

By: [Signature]
GARY D. COLBY, Ph.D., J.D.
Registration No. 40,961
AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.
One Commerce Square
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Facsimile: (215) 965-1210
E-mail: gcolby@akingump.com

Methods ~~of~~ inhibiting alcohol consumption
of

Preliminary Class

536

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COMMUNICATIONS
UNIT

PATENT

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON THE DATE INDICATED BELOW.

BY: Quinn Drain DATE: January 17, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	: Patent Application of	: Office of Initial Patent
	: Eric Garver <i>et al.</i>	: Examination
Conf. No.:	: 5387	:
Appln. No.	: 09/932,300	:
Filed	: August 17, 2001	: Attorney Docket No.
For	: METHODS OF INHIBITING ALCOHOL	: 9855-3U2
	: CONSUMPTION	:

PETITION FOR CORRECTED FILING DATE
PURSUANT TO 37 C.F.R. §1.10(c)

Applicant hereby petitions under 37 C.F.R. §1.10(c) to obtain a corrected filing date of August 17, 2001 for the above-identified application.

This Petition is being filed promptly upon the discovery of the incorrect filing date, in accordance with 37 C.F.R. §1.10(c)(1).

We attach herewith a copy of the application transmittal letter dated August 17, 2001 and a copy of the return post card, each of which indicates Express Mail Label No. EL665882005US. The return post card indicates the application number (09/932,300) which was issued by the United States Patent and Trademark Office. In accordance with 37 C.F.R. §1.10(c)(2), the Express Mail Label No. was placed on the application transmittal letter and the return post card prior to the original mailing by Express Mail.


The above-identified patent application was submitted to the United States Postal Service (hereafter "USPS") in Philadelphia, Pennsylvania on August 17, 2001 under Express Mail Label No. EL665882005US. We submit herewith a copy of a printout from the USPS Product Tracking System identifying the Express Mail Label No. EL665882005US and

indicating that it was deposited on August 17, 2001. On the same page is a true copy of the actual Express Mail label showing a "date-in" of 8/17/01. Both are submitted in accordance with 37 C.F.R. §1.10(c)(3).

The Applicants petition that the filing date be corrected to **August 17, 2001**. A Request for Corrected Filing Receipt is being filed concurrently herewith.

Respectfully submitted,

ERIC GARVER ET AL.

17 January 2002 By: 
(Date)

GARY D. COLBY, Ph.D., J.D.
Registration No. 40,961
AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.
One Commerce Square
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Philadelphia, PA 19103
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Facsimile: 215-965-1210
E-mail: gcolby@akingump.com

ASN:GDC:lcd
Enclosure



**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

[] Duplicate
(check, if applicable)

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. 9855-3U2 (OTT-3031-2)
First Named Inventor: Eric Garver
Express Mail Label No. EL665882005US
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

Methods of Inhibiting Alcohol Consumption

which is:

an ☐ Original; or

a ☐ Continuation, ☐ Divisional, or ☒ Continuation-in-part (CIP)
of prior Application No. 09/109,663 filed July 2, 1998.

Anticipated Group/Art Unit: &@ or Class &@, Subclass &@.

☒ This non-provisional patent application is based on Provisional Patent Application
No. 60/051,705, filed July 3, 1997.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 93 pages.
- ☒ Application Data Sheet.
- ☒ Non-executed Declaration.
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 14 sheets of drawings (formal).
- ☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program
Appendix)
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
 - ☒ Computer readable copy ☒ Paper Copy (30 pages) ☒ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Thomas Jefferson University
- ☐ Certified copy(ies) of &@ Application No(s). &@ filed &@ is/are filed:
 - ☐ herewith or ☐ in prior application &@.
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern,
or ☒ a Non-Profit Organization.
- ☒ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO-1449, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)


COPY

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$355			\$710	
Total	34 - 20 =	14	× 9	\$ 126	OR	× 18	\$
Independent	3 - 3 =	0	× 40	\$	OR	× 80	\$
<input type="checkbox"/> Multiple Dependent Claims Present			\$135	\$	OR	\$270	\$
			TOTAL	\$ 481	OR	TOTAL	\$

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$481.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 209855.0085)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$_____ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

17 August 2001 By: 
 (Date)

Gary D. Colby
 Registration No. 40,961
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 One Commerce Square
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 Philadelphia, PA 19103
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 E-Mail: GColby@akingump.com

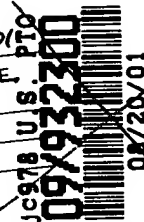
☒ Customer Number or Bar Code Label: 000570

Enclosures



CONFIDENTIAL
CONFIDENTIAL

ATTY GOC, ASN SECY _____ PATENT RETURN POSTCARD
ATTY DKT # 9855-3V2 TODAY'S MAILING DATE 17 August 2001
EXPRESS MAIL # EL665882005US CERT OF MAIL/CERT OF SERVICE
PAT APP/PATENT/REEXAM/INTF# Not Yet Assigned
OF Eric Garver et al.
FOR: Methods of Inhibiting Alcohol Consumption
RECEIPT IS ACKNOWLEDGED BY THE USPTO FOR THE FOLLOWING:
☒ PAT AP (PROV ~~NON-PROVIDES~~ REISSUE) ☐ CPA REQUEST
☒ DECL & POW (EXECUTED ~~INEXECUTED~~) ☐ AMEND/REQ RECONS/ RESTR.REQ. RESPONSE
93 PAGES TOTAL TEXT ☐ RESPONSE TO MISSING PARTS
54 TOTAL # CLAIMS ☐ INF.DISC.STMT. PTO-1449 & _____ REFS
14 SHEETS DRAWING (FORMAL ~~INFORMAL~~) ☐ PET.EXT.TIME _____ MONTHS
☒ SEQUENCE LISTING (PAPER COPY ~~DISK~~) ☐ ASSIGN/CHG NAME/MERGER/SEC. INT
COMPUTER CODE ☐ PTO-1595 FORM
☒ PRELIMINARY AMENDMENT ☐ VERF.STATE. - SMALL ENTITY
PRIORITY DOC. ☐ NOTICE APPEAL/APPEAL BRIEF/(3 COPIES)
COUNTRY _____ NO. 1 SUPPL. DECL.
TRANSMITTAL LTR (US PCT/NAT'L PHASE) ☐ FEE AUTH (FINAL/MAINT/DISCLAIMER)
REV/APPT OF ATTORNEY ☐ REQUEST (STATUS/CERTIF CORR/COR FILING RT/REFUND)
TOTAL FEES AUTH CHARGE DEP ACCT. check # \$481
OTHER (PAPER TITLE) Statement to Support Filing



FROM : EXPEDITED MAIL SERVICE

FAX NO. : 215-895-8633

Nov. 28 2001 10:07AM P1

2723

PRODUCT TRACKING SYSTEM

PAGE 001 OF 001

11/28/2001 08:41:00

LABEL EVENT DETAILS

EB4195

LBL: EL665882005US

DT MAILED: 08/17/2001 TM MAILED: 17:00

DESTINATION: ZIP: 20231

CITY: WASHINGTON

STATE: DC

ORIGIN: ZIP: 19104

CITY: PHILADELPHIA

STATE: PA

CLASS: Express Mail

SERVICE: PO - ADDRESSEE

SIGNATURE IND: 2

SCHD DELV DATE/TIME:

POSTAGE: \$.00

OTHER FEE: \$.00

SPCL SERVICES:

WEIGHT LB: 0 OZ: 8

EMCA/FED: 191008

CDA#:

DELV RQMT: NORMAL

PO BOX? N CUSTOMS IN:

OUT:

EVENT: DELIVERED

ZIP: 20231 DATE: 08/20/2001 TIME: 05:34

CITY: WASHINGTON

STATE: DC RECIPIENT: M BOSTON

EVENT: NOTICE LEFT

ZIP: 20231 DATE: 08/18/2001 TIME: 10:19

CITY: WASHINGTON

STATE: DC

EVENT: ARRIVAL AT UNIT

ZIP: 20066 DATE: 08/18/2001 TIME: 09:37

CITY: WASHINGTON

STATE: DC

PF7=PREV PAGE

PF8=NEXT PAGE

PF10=EXIT

CBE723-035: LAST PAGE. PF8 IS NOT VALID

POST OFFICE
TO ADDRESSEE

EL665882005US

EL665882005US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code	Day of Delivery	Flat Rate Envelope
19104	<input type="checkbox"/> 1st <input checked="" type="checkbox"/> 2nd	<input type="checkbox"/>
Date In	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage
8/17/01		\$ 12.45
Time In	<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
1700		
Weight	Int'l Alpha Country Code	COD Fee Insurance Fee
8 oz.		
No Delivery	Acceptance Clerk Initials	Total Postage & Fees
<input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		\$ 12.45

DELIVERY (POSTAL USE ONLY)

Delivery Attempt	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Delivery Attempt	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Delivery Date	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Signature of Addressee or Agent		
Name - Please Print		

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METHOD OF PAYMENT:

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Provide Agent Copy/Post. Acct. No.

Federal Agency Acct. No. or

Postal Service Acct. No.

FROM: (PLEASE PRINT)

PHONE 215 965 1200

AKIN GUMP STRAUSS
HAUER & FELD LLP
2005 MARKET ST. FL 22
PHILADELPHIA, PA 19103-7014

GOC 204855.0085

TO: (PLEASE PRINT)

PHONE

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington DC 20231

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289

F02

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Nov. 28 2001 09:56AM P1

Z723 P R O D U C T T R A C K I N G S Y S T E M PAGE 001 OF 001
11/28/2001 08:41:00 LABEL EVENT DETAILS EB4195

LBL: EL665882005US

DT MAILED: 08/17/2001 TM MAILED: 17:00

DESTINATION: ZIP: 20231 CITY: WASHINGTON STATE: DC
ORIGIN: ZIP: 19104 CITY: PHILADELPHIA STATE: PA
CLASS: Express Mail SERVICE: PO - ADDRESSEE SIGNATURE IND: 2
SCHD DELV DATE/TIME: POSTAGE: \$.00 OTHER FEE: \$.00
SPCL SERVICES:

WEIGHT LB: 0 OZ: 8 EMCA/FED: 191008 CDA#:
DELV RQMT: NORMAL PO BOX? N CUSTOMS IN: OUT:

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EVENT: DELIVERED	ZIP: 20231	DATE: 08/20/2001	TIME: 05:34
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EVENT: NOTICE LEFT	ZIP: 20231	DATE: 08/18/2001	TIME: 10:19
CITY: WASHINGTON		STATE: DC	
EVENT: ARRIVAL AT UNIT	ZIP: 20066	DATE: 08/18/2001	TIME: 09:37
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EVENT: ACCEPT OR PICKUP	ZIP: 19104	DATE: 08/17/2001	TIME: 17:00
CITY: PHILADELPHIA		STATE: PA	

PF3=NEW LBL-ID PF7=PREV PAGE PF8=NEXT PAGE PF10=EXIT
CBE723-035: LAST PAGE. PF8 IS NOT VALID



UNITED STATES PATENT AND TRADEMARK OFFICE

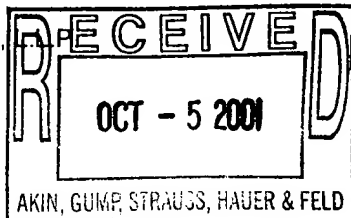
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/932,300	08/20/2001 → 17	1635	481	9855-3U2	14	34	3

CONFIRMATION NO. 5387

000570

AKIN, GUMP, STRAUSS, HAUER & FELD,
ONE COMMERCE SQUARE
2005 MARKET STREET, SUITE 2200
PHILADELPHIA, PA 19103



FILING RECEIPT



OC000000006829386*

Date Mailed: 10/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eric Garver, Wayne, PA;
Guang-Chou Tu, East Norriton, PA;
Yedy Israel, Philadelphia, PA;

Assignment For Published Patent Application

Thomas Jefferson University, Philadelphia, PA;
→ Jefferson

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/109,663 07/02/1998 PAT 6,277,981
WHICH CLAIMS BENEFIT OF 60/051,705 07/03/1997

Foreign Applications

If Required, Foreign Filing License Granted 10/01/2001

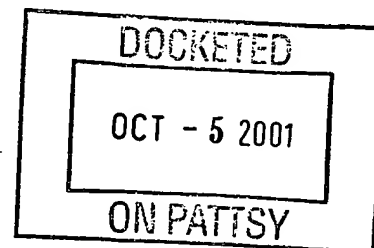
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title



COPY